



ATTORNEY DOCKET NO. 5051-441

PATENT

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: DeSimone et al.

Serial No.: 09/641,815

Group Art Unit: 1724

Filed: August 18, 2000

For: *CARBON DIOXIDE-SOLUBLE
POLYMERS AND SWELLABLE
POLYMERS FOR CARBON
DIOXIDE APPLICATIONS*

Date: March 28, 2001

BOX MISSING PARTS

Commissioner for Patents

Washington, DC 20231

SUBMITTAL OF DECLARATION
UNDER 37 C.F.R. § 1.63

Sir:

In response to the Notice to File Missing Parts dated October 31, 2000, enclosed is a Declaration and Power of Attorney for the above identified application which has been executed by the named inventors. An Extension of Time for three months is also enclosed.

A check in the amount of \$1,020.00 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(e) and the fee associated with the Extension of Time. Any additional fee or credit may be charged to our Deposit Account No. 50-0220 .

Respectfully submitted,

Robert J. Smith

Registration No. 40,820

USPTO Customer No. **20729**
Myers Bigel Sibley & Sajovec
PO Box 37428
Raleigh NC 27627
Telephone (919) 854-1400
Facsimile (919) 854-1401

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on March 28, 2001.

Marjorie J. Pfeiffer

Date of Signature: March 28, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,815	08/18/2000	Joseph M. DeSimone	5051-441

20792
MYERS BIGEL SIBLEY & SAJOVEC
PO BOX 37428
RALEIGH, NC 27627

FORMALITIES LETTER



OC000000005520363

Date Mailed: 10/31/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

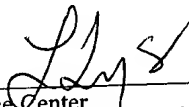
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/03/2001 FFAH01A 00000024 09641815

890.00 DP
130.00 DP01 FC:117
0P FC:105



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Date: March 28, 2001

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Commissioner for Patents

Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

In reviewing the filing receipt (copy attached) for the above referenced application, Applicant notes that the invention title appears as CARBON DIOXIDE-SOLUBLE POLYMERS AND SWELLABLE POLYMERS FOR CARBON DIOXIDE APPLICATIONS. The title should be corrected to read CARBON DIOXIDE-SOLUBLE POLYMERS AND SWELLABLE **POLYMERS** FOR CARBON DIOXIDE APPLICATIONS. Also in the "Continuing Data" section of the filing receipt, after "08/20/1999", please add "**and 60/150,039 08/20/1999**".

Applicant respectfully requests that the above-mentioned corrections be made and that a corrected filing receipt be issued.

Respectfully submitted,

Robert J. Smith

Registration No. 40,820

USPTO Customer No. 20729
Myers Bigel Sibley & Sajovec
PO Box 37428
Raleigh NC 27627
Telephone (919) 854-1400
Facsimile (919) 854-1401

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on March 28, 2001.

Margorie J. Pfeiffer
Date of Signature: March 28, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
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 WASHINGTON, D.C. 20231
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 RJS
 # 4

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/641,815	08/18/2000	1724	1272	5051-441	5	48	4

 20792
 MYERS BIGEL SIBLEY & SAJOVEC
 PO BOX 37428
 RALEIGH, NC 27627

FILING RECEIPT



OC000000005520362

Date Mailed: 10/31/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

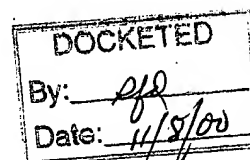
 Joseph M. DeSimone, Chapel Hill, NC ;
 Eva R. Birnbaum, Los Alamos, NM ;
 Ruben G. Carbonell, Raleigh, NC ;
 Stephanie Crette, Carrboro, NC ;
 James B. McClain, Durham, NC ;
 T. Mark McCleskey, Los Alamos, NM ;
 Kimberly R. Powell, Chapel Hill, NC ;
 Timothy J. Romack, Durham, NC ;
 William Tumas, Los Alamos, NM ;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/150,015 08/20/1999

Foreign Applications

If Required, Foreign Filing License Granted 10/31/2000



Title

Carbon dioxide-soluble polymers and swellable polymers for carbon dioxide applications

Preliminary Class

210

Data entry by : TYSON, LASHONNAH

Team : OIPE

Date: 10/31/2000





LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231

